NATIONAL INSTITUTE OF SECURITIES MARKETS
An Educational Initiative of SEBI

Professionalizing the Securities Markets

I propose to authorize the Securities and Exchange Board of India (SEBI) to set up a National Institute of Securities Markets for teaching and training intermediaries in the securities market and promoting research.

- Hon'ble Union Finance Minister
Budget speech 2005-06

I am happy that SEBI has over the years nurtured and supported under its auspices the National Institute of Securities Markets to promote securities market education and research.

- Hon'ble Prime Minister
Silver Jubilee Function of SEBI

I find no institution that provides a diploma or a degree to a person wanting to pursue a career in the securities markets or caters to the need for continuing education in the securities markets. So, SEBI envisaged and established NISM which will not only offer academic programs to new entrants but also continuing education to the market participants.

- Hon'ble Chairman – SEBI
Silver Jubilee Function of SEBI

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Mr. Ajay Tyagi
Chairman, SEBI
(Chairman, Board of Governors)

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Whole-Time Member, SEBI

Mr. S K Mohanty
Whole-Time Member, SEBI
&
Director-National Institute of Securities Markets (NISM)

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Shri. Sujit Prasad
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Mr. Sunil Jayawant Kadam
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National Institute of Securities Markets

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Mr. S K Mohanty
Whole-Time Member, SEBI
&
Director-National Institute of Securities Markets (NISM)

Secretary to the Academic Council

Mr. Sunil Jayawant Kadam
Registrar
National Institute of Securities Markets

Academia

The School of Securities Education (SSE) in NISM provides academic deliverables in financial markets domain to the student community as part of NISM's goal of professionalizing the securities markets.

The School for Securities Education(SSE) imparts education from long term perspective. Students enrolled in various programs of SSE get to learn from both industry experts as well as from the in-house academic faculties. The two-year PGDM is a flagship program that aims to build strong foundation of capital market for the next generation of market professionals. The pedagogy of PGDM is a mix of academic studies and industry internship (for six to eight weeks). The one year PGPSM program is a fast track program for working executives, those having work experience in different fields and those that want to make a strategic career move in capital market. The one-year PGDQF program is a quantitative focussed program run in collaboration with Mumbai University. It aims to build deep foundation of analytical concepts and problem solving in the field of business, market and analytics.

PGDDS is a one-year part time program for data scientists who wants to work in the field of data and business analytics with the help of machine learning and quantitative techniques. PGDFERM is also a one-year part time program aimed at imparting skill set necessary to take up challenges in the field of Banking, Insurance and Finance for working professionals, who would be dealing with risk at some point of time in their career. Students are expected to model risk facing their firms after learning the concepts at the program. The course contents are kept at par with the global trends in the field.

Two-year full-time programme

PGDM (SM) Post Graduate Diploma in Management (Securities Markets) AICTE Approved

Post Graduate Diploma in Management (Securities Markets) PGDM(SM)

PGDM(FinTech) Post Graduate Diploma in Management Financial Technology AICTE Approved

Post Graduate Diploma in Management (Financial Technology)

One-year full-time programme

PGPSM Post Graduate Programme in Securities Markets

Post Graduate Programme in Securities Markets (PGPSM)

PGDQF Post Graduate Diploma in Quantitative Finance

Post Graduate Diploma in Quantitative Finance (PGDQF)

Part-time & executive programmes

PGDFERM Post Graduate Diploma in Financial Engineering and Risk Management

Post Graduate Diploma in Financial Engineering and Risk Management (PGDFERM)

PGDDS Post Graduate Certificate in Data Science

Post Graduate Diploma in Data Science (PGDDS)

PGCSL POST GRADUATE CERTIFICATE IN SECURITIES LAW

Post Graduate Certificate in Securities Law (PGCSL)

CTM Certificate in Treasury Management

Certificate in Treasury Management (CTM)

The School has a team of dedicated academicians that impart knowledge and skills based on in-depth research and constant interaction with the policy makers and the industry at the highest level. We have perfected the design and delivery of curriculum to meet global standards, as evident from the sterling on-the-job performance of our alumini.

Dean, School for Securities Education

Certification Programmes

“ The skills, expertise and ethics of professionals in the securities markets are crucial in providing effective intermediation to investors and in increasing the investor confidence in market systems and processes. The **School for Certification of Intermediaries** ensures that the intermediaries meet defined minimum common benchmark of required functional knowledge through...

Certification Examinations

The School for Certification of Intermediaries (SCI) at NISM is engaged in developing certification examination for market professionals, aspiring students, investors etc. in the fields of the Indian securities markets. Most of these certifications developed by NISM are mandated under SEBI (Certification of Associated Persons in the Securities Markets) Regulation, 2007. NISM also offers voluntary certification examinations which have been developed as per the industry requirements for upgrading the skill-set and imparting advanced knowledge to the existing and prospective professional in the Indian securities markets.

The objective of developing the mandated certifications is to have certified personnel across regulated intermediaries to strengthen the market processes, thereby leading to better client services and investor protection.

Currently, NISM is offering 25 certification examinations which are conducted throughout the year from 250 plus centre's in India through online mode.

Continuing Professional Education Programme

As mandated by SEBI, SCI also develops Continuing Professional Education (CPE) Programmes for professionals working with the securities market intermediaries. The content of the CPE programme is designed to help candidates recap and get updated on concepts, operational knowledge, changes in regulations, market procedures and products etc. pertaining to the subject.

Upon expiry of the validity of the certificate, the certificate has to be re-validated by passing the relevant NISM Certification Examination or by successfully completing a Continuing Professional Education' programme.

Joint Certifications

NISM has collaborated with various prestigious national and global certification bodies to offer various short term and long term courses/workshops, basic and advanced level certifications with the underlying objective of developing capacity building in financial markets. Through these collaborations, NISM provides certification in newer segments of financial markets and also adds to the product-suit relevant to NISM candidates.

Corporate Solutions

NISM provides various innovative solutions to the corporates/establishments to help their officers to become more proficient in their existing functions and also provide them with opportunities to expand their know-how in other specialized areas within the financial markets domain. Our offerings are

- Off-the-Shelf Training Solutions (open Programme for professionals)
- Customised and tailor-made solutions
- Assessment Testing Solutions (assessments to assist organizations in mapping essential officer's skills against desired objectives)
- On-Boarding Programme (short to medium term programmes for entry level officers to make them job-ready by providing them with required skill sets).

Certification creates quality market professionals and catalyzes greater investor participation in the markets. Certification also provides structured career paths to students and job aspirants in the securities markets. The School has certified more than seven lakh candidates through its Examinations and Professional Education Programmes. "

Dean, School for Certification of Intermediaries

Regulatory Studies

The securities market in are very dynamic and continuously evolving. A vibrant and an efficient securities market requires professionals who have a good understanding of market microstructure and regulatory framework.

Vision of SRSS

- The vision of SRSS is to develop excellence in regulatory practice and regulatory technology to support SEBI in its core functions of market surveillance and enforcement. SRSS functions as a staff college of SEBI and directs its efforts to continuously update /upgrade the professional skills of regulatory staff.
- The endeavour of SRSS is to help the financial regulators and government agencies, both in India and abroad, in developing and effective implementation of regulatory policies by designing customised training programs.

- **Risk Management in Securities and commodities market**
- **Financial Holding**
- **Securities Markets Regulations**
- **Forensic Investigation**

Through its rigorous executive education programs, induction programs and leadership programmes the School supports the Reserve Bank of India and the Securities and Exchange Board of India, the watchdogs in the Indian financial sector, in augmenting their human resource towards the implementation of regulatory policies.

Dean, School for Regulatory Studies and Supervision

Investor Education

From saving for a comic book to planning for retirement, effective money management is important for financial wellbeing. **The School for Investor Education and Financial Literacy** aims to cultivate basic financial skills in individuals from all walks of life.

Pocket Money Program- Financial Literacy Certification in Schools

Capacity Building Support to SEBI Financial Education Resource Persons Program

Investor Education Program in Colleges and general Public

Mutual Fund Awareness Programs

We are committed to provide financial education to all Segments of society. Our programmes are designed to help individuals understand the role of money, the need and use of savings, the importance of investments and benefits of being connected to the formal financial system.

Dean, School for Investor Education and Financial Literacy

Corporate Governance

Today's competitive and dynamic business environment requires a balanced blend of a sustainable growth model coupled with good governance. Research also indicates a strong correlation between **corporate governance** standards exhibited by companies and returns generated by them.

Round Table Conferences for Directors & CEOs

Directors' Colloquium

Sensitization Programme for Nominee Directors

Research Conferences

Interactive Sessions for Stakeholders

Familiarization Programme for First Time Woman Directors

Workshop for Compliance Officers

The Mission of the School is to bridge the gap between theory and practice, i.e. between intent of the regulatory norms and governance standards exhibited by corporate India through knowledge empowerment.

Dean, School for Corporate Governance

Research

Research is a crucial ingredient and a differentiator among Institutions of higher learning; knowledge dissemination is supported by knowledge creation. The **School for Securities Information and Research** has made significant mark in research projects and publications in areas such as...

The Significance of market-Wide Circuit Breaker in Indian Stock market

Corporate Governance in India: Regulatory Reforms

Stock Price Reaction to Environmental, Social & Corporate Governance Events- Evidence From Indian Stock market

Option pricing Models

Return and Volatility spillovers among Stock Markets: BRICS Countries Experience

Internal and External Spillovers-An Analysis of the BRICS Economics Using a VAR Bekk Framework

Dynamic Regime-Switching Behaviour Between Cash and Futures Market - A Case of Interest Rates in India

ESG News Impact on Stock Performance

SSIR has also been instrumental in conducting national and international research conferences promoting research in areas related to economics and securities markets, with the goal of promoting healthy discussions among the academics, industry participants and regulators. Some of the recent conferences conducted include

- The Indian Securitization Summit in July 2015
- Economics Research Conference in October 2018
- 55th Annual TIES conference in Economics, Finance and Econometrics in January 2019.

The **Network for Securities Market Data**, a project undertaken by the School, will act as a single point access to data pertaining to the Indian securities markets and will boost the research and analysis activities on the markets.

Dean, School for Securities Information and Research

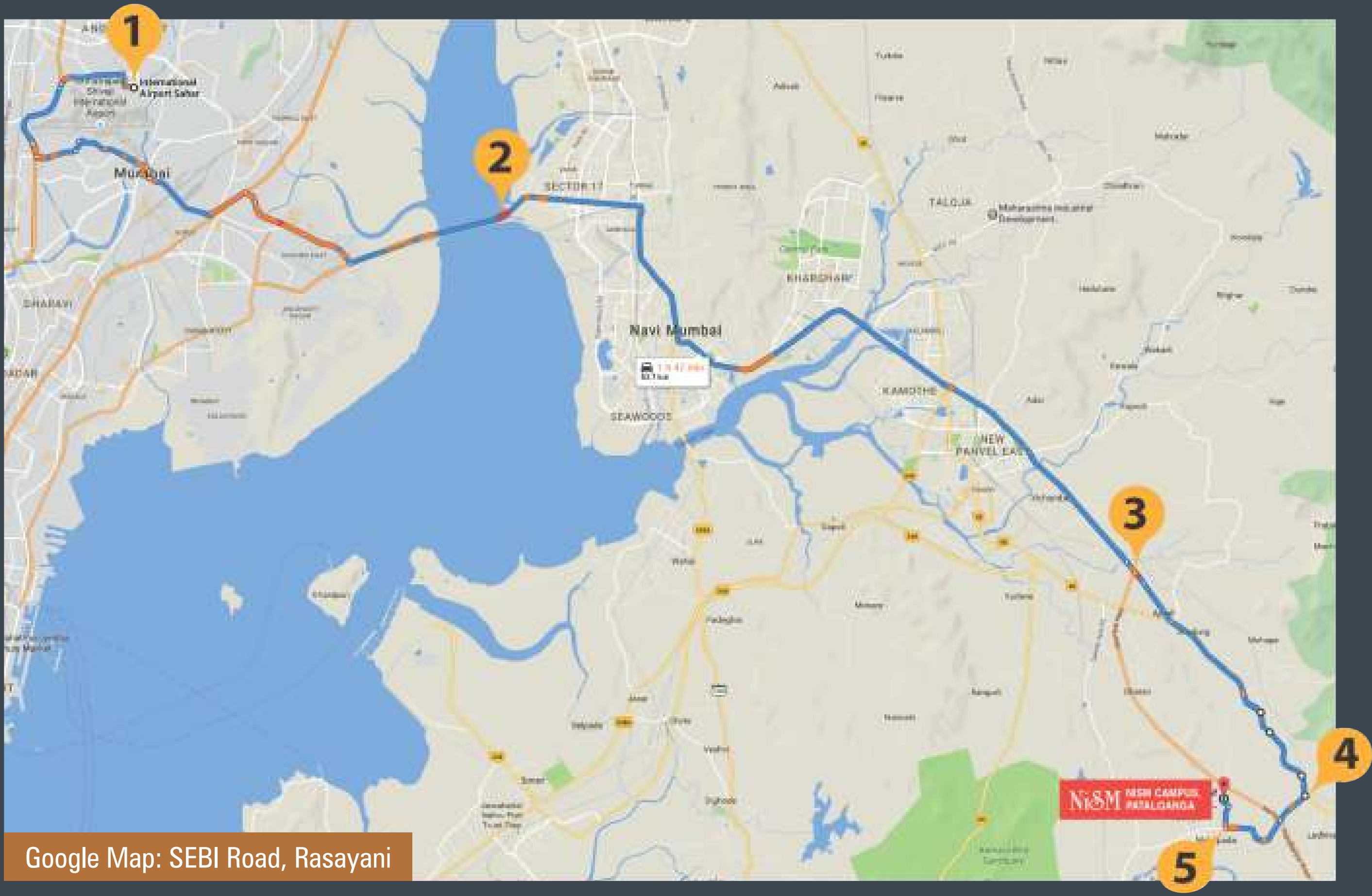
Road Ahead

NISM's sprawling new campus with a serene environment and state-of-the-art infrastructure at Patalganga, near Mumbai has become fully operational now. Residential academic programs for post graduate students and short term training programs are being offered from this picturesque campus. NISM has started expanding its wings by offering a variety of programs to all stakeholders in financial markets.

NISM has embarked on a fast track expansion of its activities both domestically as well as internationally, which will position NISM as a leading global player in knowledge dissemination and skills enhancement in the financial markets domain.

In coming years, NISM will continue its journey to be the leading financial markets education, research and training provider in the Middle East / South Asia /Asia Pacific regions.

NISM Campus, Patalganga



Google Map: SEBI Road, Rasayani

Route Map from Navi Mumbai to NISM, Patalganga

1. Continue towards Vashi, Navi Mumbai

2. Cross Vashi Toll Naka on Sion-Panvel Highway and continue for 18 kms towards Pune to join Mumbai-Pune Expressway.

3. Continue on Mumbai-Pune Expressway for 9 kms towards Pune. Thereafter, Exit the Mumbai-Pune Expressway at Shedung Exit and join the Old Mumbai-Pune Highway.

4. Continue on Old Mumbai-Pune Highway for 8.9 kms towards Pune and then take a right turn towards Rasayani.

5. In 3.4 kms, after reaching HDFC Bank at Mohopada Village, take a right turn towards NISM Patalganga Campus.

For more information on

- Academic Programmes
- Certification Programmes
- Financial Literacy Programmes
- Executive Education Programmes
- Conferences and Conclaves
- Research Publications

Visit: www.nism.ac.in

NISM CAMPUS

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